### INTRODUCTION

Politics - greek word 'polis' - 'city' 'state'

(politika) - 'state **affairs**' or 'public matter'

Aristole - to do politics - take part in making the common arrangement for running the state

**political ideology** - a system of beliefs and practices that determine the political decisions of a country or society

**democracy** - the supreme power is vested in the people and exercised directly by them or by their elected agents, everyone has decision making powers

**direct democracy** - all eligible citizens are able to present their opinions and directly contribute to political decision-making, everyone has a vote - with small groups

**indirect/ representative democracy** - the eligible voters select a representative to make political decisions on their behalf

oligarchy - small group of leaders make the decisions

autocracy - one person has authority

monarchy - all political power lies in one family (royal family)

absolute monarchy - one leader has authority and isn't restricted by any written laws

constitutional monarchy - led by set principals written in the constitution

dictatorship - one person or group leads nation by force

anarchy - no government, no penalties and laws, no authority

**fascism** - built on a centralised power, in which a leader makes all the political decisions without the participation of citizens

**theocracy** - beliefs and practices of a particular religion forms the foundation of a country's laws and governance

**communism** - all property is owned by the community and each person contributes and receives according to their ability and needs

**socialism** - the means of production, distribution, and exchange should be owned or regulated by the community as a whole

authoritarian - strict obedience to authority at the expense of personal freedom

**Government** - body that has **authority** to **enforce rules** and **laws** within a civil, religious, academic or other organisation or group

**Royal assent** - when a bill becomes a law. The governor general must give assent to any bill passed by the parliament before it becomes a law.

**Electorate** - all people in an area who are entitled to vote

**precedent** - an earlier action that is set as an example or guide to be considered in subsequent similar circumstances

Politics is a **process** and its function is to **achieve consensus** and **resolve conflicts** which arise from **diverse interests**, **views** and **characteristics** of human beings

- Involves making rules, laws or decisions and applying them
- Involves: debate, negotiation, compromise, manipulation, coercion and force

## **Components of Politics**

- Power
- "The possibility that one actor within a social relationship will be in a position to **carry out** his/ her **will despite resistance**"
- Involves the capacity to **enforce ones will despite resistance** via influence, coercion, force
- Authority
- Recognition of the right to rule or make decisions
- generally **legitimate**, able to **exercise** their **power**
- **Traditional elders** of an aboriginal group has heir right to rule established through past traditions
- Charismatic personal characteristics of a leader
- Rational legal derived from the office a person occupies rather than the characteristics of the individual who holds the position hereditary, entitled to the position

## What gives a person authority?

- position that enables them to make decision,
- beliefs
- education
- wealth
- occupation
- traditional position
- charisma
- gender
- physique

### How does an individual become powerful from having authority?

- make and enforce decisions
- using their influence on others
- achieving desired outcomes
- enforcing change
- having the right to rule

### Democracy

the **public sphere** is an area in social life where individuals can come together to freely discuss and identify societal problems, and through that discussion influence political action. the **private sphere** is a certain sector of societal life in which an individual enjoys a degree of authority, unhampered by interventions from governmental or other institutions.

# A good Government

- is representative
- reflects the **interest** and **backgrounds** of citizens
- allows participation
- involves people in the **decision making** process
- must be **accountable** to the institutions/people
- allows people to voice their opinion by allowing regular elections
- ability of people to dismiss leaders who misused power
- seek to guarantee **absolute freedom** basis of a democratic society
- ^ right to safety, security, freedom of thought, opinion and private belief
- private sphere concerns aspects of individual's life outside realm of Govt activity
- **ordinary lives** of individuals/ households
- meet basic needs for **food**, **shelter**, **relationships** with others
- private activities **improve** our **own lives**, lives of our **families**, **others** close to us
- shared activities/interests
- every individuals **right** to '**life**, **liberty** and the pursuit of **happiness**'
- ^ American Declaration of Independence 1776
- need to work together as a **community** to achieve private aims
- cooperation increases efficiency so everyone benefits
- community makes collective decisions through parliament/courts
- cooperative action ensure individual's activity doesn't injure or exploit others
- in democracy govt set up **structures/ pass laws** to help create **cooperative action**
- laws enable cooperation or limit exploitation traffic/ consumer protection
- some aims/ needs of society only achieved through collective action
- e.g. public safety
- no individual (or group of) could provide defence force needed against external threats
- must be funded by taxes, run collectively by whole nation
- collective action needed to provide schools and hospital
- **public sanitation** need for collective action

# Difference between Politics and Government

Politics	Government
activity of making shared public decisions	general term that covers our political and legal structures and roles of powers that we give to those structures
means by which we create and modify our political and legal structures as well as deciding on specific laws and public policies	includes institutions of parliament (at federal and state levels as well as local councils), the roles of Ministers and all govt employees, and the framework of law, the courts and the judiciary

# Roles of Government

• The United State President, Abraham Lincoln - "The legitimate role of government is to do for a community of people whatever they need to have done, but cannot do for themselves, or cannot do well for themselves..."

## **Security**

- govt role is to improve **public safety**
- to provide a level of **external** and **internal security** that individuals cannot achieve alone
- e.g. defence, police patrol, laws, border control

### **Settling disputes**

- provide avenues of **dispute settlement**
- in early times **kings** 'held court'
- their laws/ judgements were basis of justice for criminal offences/ civil disputes

## **Infrastructure**

- govt provide economic infrastructure
- especially goods that cannot be provided by **private goods market**
- e.g. light houses **funded** by **society collectively** through **govt taxes**
- import goods

### **Recent growth in functions**

- with industrialisation, range of public goods/ services grew dramatically
- govt provide infrastructure such as transport, telecommunication networks, hospitals, public schools
- many democracies became involved in manufacturing industry making steel/ motor vehicles
- expansion greatest in Europe
- since 1980s, level of **govt involvement** in economy has been **reduced**
- many govt owned firms and administered services have been privatised
- e.g. commonwealth bank and telstra

### **Economic management**

- increased scope of govt activity = increase in tax and higher levels of public expenditure
- economic management
- after WW2, govt use **levels** of **taxing** and **spending** to try to **'balance'** the economy
- manage greater growth, higher employment, low inflation
- economic management interest/ exchange rates wages, price policies

# **Redistribution of income**

- govt have significant role in **redistribution of income**
- by providing free or low cost services (schools, hospitals) govt action can improve standard of living of low to middle income earners
- ensures all members of the community are considered and looked after
- income also **redistributed** through **pensions**/ other welfare payment
- tax rates can be set to rise more than proportionally with income
- above average income earners **support payment** and **services** to those on lower income

### Structure of Federal Parliament

### **Consists of:**

- the queen (represented by Governor General)
- two houses (the Senate and House of Representatives)
- makes Australia a constitutional monarchy and a parliamentary democracy

#### **Governor General:**

- represents queen in Aus, acts on her behalf
- appointed by Queen on advice of Prime Minister
- usually will **follow advice** of PM and Cabinet (senior members of govt)
- Peter Cosgrove since 2014

## **Parliamentary structure**

- Aus govt follows the British (Westminster) tradition
- federal and all state parliaments (except qld) are **bicameral** (two houses)
- federal parliament elected max of 3 years
- Bicameral system upper house and lower house

# **Role of House of Representatives**

- form govt
- decide matters of national interest
- represent interest of people in their electorates
- propose, debate and vote on bills and amendments
- examine issues in committees
- scrutinise executive govt

### **House of Rep**

- lower house
- 150 members each representing a separate electorates
- members elected for terms of up to 3 years
- party of group with **majority support** in house form govt
- Liberals and nationals have an agreement to vote together form a "coalition"
- make laws, amend existing ones

### **Role of Senate**

- decide matters of national interest
- represent interest of people in their states or territories
- propose, debate and vote on bills and amendments
- examine issues in committees
- scrutinise executive govt

### **Senate**

- upper house
- has **76** senators 12 for each state, 2 each for NT and ACT
- state senators 6 year terms
- territory senators 3 year terms
- states enjoy equal representation in the senate regardless of population
- state matters are important to senators
- bills cant become law unless they are agreed to by each house
- senate house of review
- bills can be introduced in the senate (except "money bills")

3 levels of government in Australia	examples of areas of law-making power
commonwealth (federal)	currency defence marriage customs and border protection australian citizenship
state	primary and secondary education health services water electricity public transport
local	local infrastructure (eg provision of roads, footpaths, drainage and waste collection) recreational facilities (eg parks, libraries and pools) aged/child care facilities

# 5 important functions of parliament

- 1. provide for the formation of a govt
- 2. to legislate
- 3. to provide funds needed for a govt
- 4. to provide a forum for popular representation
- 5. to scrutinise actions of govt mmm

# Three arms of government

- defining difference between **dictatorship** and **liberal democracy way** in which **functions** (or powers) of **govt** are **carried out**
- all govt have same three functions making, administering and enforcing the law
- three arms legislative, executive and judicial
- in a dictatorship (or any non-democratic system) govt has large and unchecked power
- the ruler combines all power
- all liberal democracies **separation of powers**, no one authority has control of all three functions

## Legislative function

- creates and modifies the law
- laws made through parliament are called **statute law**
- three ways of making law
- 1. statutes (acts of parliament) laws made directly by parliaments both federal and state
- 2. **delegated legislation** parliamentary statutes can **give authority for others** (e.g. local councils and other govt departments) to make **rules** and **regulations**
- 3. **judge-made law** includes **common law** made up of **judgements over time** of courts and **interpretations** of statures that may **modify** their **meaning**
- parliament has ultimate authority over law-making
- legislative powers it delegates to any other authority can be **overruled by parliament** or **withdrawn** at any time
- sovereignty of parliament:
- new statutes can be passed to override common law and Acts amended to limit scope of interpretation of previous legislation by the courts

## **Executive function**

- administers the law
- covers powers and structures required to ensure the law is carried out
- chief executive body:
- Cabinet: PM and Senior Ministers at federal level
- **premier** and **ministers** at State level
- cabinet decisions provide authority for govt policies to be carried out
- cabinet provides **legal authority** for govt departments or other agencies to **carry out** its **executive decisions** and to **implement new laws**
- statutes enable departments and statutory authorities to **create administrative rules** needed to carry out their responsibilities
- state/ federal level **police** forces carry out investigations of **breaches of laws** passed by parliament and **charge alleged offenders**
- **public counsel** (govt employed **lawyers**) presents prosecution case in **court**

## **Judiciary function**

- **courts** carry out judicial function
- central task of courts is to resolve legal disputes and determine penalties
- interpret the law
- courts hear **cases** that result from **police investigations** or from **civil action** taken by **individuals**
- they must **determine** how the **law** (either statute or common) **applies to** the **circumstances** of the case
- courts (Magistrate or Judge, or Jury) decide whether facts before the court prove a
  defendant in a criminal case to be guilty or the plaintiff in a civil case to be liable
- Magistrate or Judge sets the criminal sanction (e.g. fine or imprisonment) or a civil remedy (e.g. awarding damages)
- court's judgement also bound by law nature of sanction or remedy generally determined by the law or by precedent
- interpretation of a statute by a judge may modify the law or a higher court may set a new precedent that changes common law
- a new statute cannot overturn the specific result of a previous judgment of a court
- courts operate separately; **independent** of parliament and executive
- legislation can't reverse a specific judgement in a specific case that has been decided by courts
- parliament can make new law that will change future judgement
- executive has power to give a pardon, but a pardon doesn't overturn a court judgement

# Rule of law

- the principle that **all individuals** are **equal** before the law
- it asserts that laws must be based on **consent**, **involve consistent** and **accepted procedures** and apply to all the actions of **govt** as well as to the actions of **individuals**
- the idea of the rule of law rejects arbitrary and absolute power
- thus expressed the "rule of laws not of men"
- a govt should not be arbitrary
- all citizens are controlled by the law and should operate within the law
- rights and obligations of citizens should be laid down in the law
- all citizens are **equal** before the law
- law applies to actions of **all citizens**, whatever their status or power
- govt and officials in legal and political system should be held accountable
- the law should be **clear** and **understandable** to all people

# Assessment of the Rule of Law based on Justice, Fairness and Equality

- 1. consistency the law applies to everyone in the same way
- 2. predicability or natural justice
  - people have the right to know what is alleged against them and to be able to defend that accusation
  - the right to be heard by a court that isn't biased
- 3. equality law must start from the same base
- 4. impartiality we have an impartial judiciary that is separated from the executive and legislature

The Rule of Law is a **legal traction** in Australian society. The Law should **apply to all people equally** regardless of their status in society. **Checks and balances** on the use of power are in place to ensure govt and individuals **act according to the law** when power is granted. The **presumption of innocence** ensures individuals must be **proven guilty** before being punished. Trials are ensured to be fair through **independent** and **impartial judges**, to make sure justice is achieved for victims and the accused. People have the **Right to Assemble** peacefully in public, without fear, **Freedom of Speech**, **Access to Justice** and the law must be **accessible** to everyone. The rule of law in Australia was part of the system of **English law** which was shaped by the **Magna Carta** in **1215**. The rule of law is fundamental in maintaining a **free**, **democratic**, **tolerant** and **prosperous** society. It promotes **freedom from tyranny** by ensuring checks and balances on the use of power.

# Essential features of a Democratic Government

- accountability govt must continually test its representatives
- mandate is still derived from public opinion
- public opinion is freely and openly expressed
- essential to test accountability claim of democratic goats
- majority opinion democracy implies majority rule representation of diversity of views
- rule of law processes of govt are bound by law
- all citizens are free from persecution (equality)
- all citizens are entitled to protection of the rule of law, and to be treated equal

## **Features of Modern Democracies (Democratic Principles)**

- freedom of speech
- freedom of media
- freedom of association
- freedom of religion (no religious requirements imposed for holding of public office)
- equality of all citizens before the law
- freedom of assembly
- freedom of information

# Liberal democracy

- phrase often used to describe **Western Democratic political systems**, such as Australia, the United States, Britain, New Zealand, Canada and other nations
- democracy + liberalism = **democratic value** + **libertarian**
- why? to have a majority system which recognises and respects individual and minority rights
- type of **representative** democracy
- representatives elected by the people given power to decide on laws and political issues guided by constitution
- constitution tends to centre around individual rights and freedoms, equality, and due process
- granted to all citizens include minorities

### 1. Representative

allows for representation and accountability, free elections, open public expression,
 judicial independence and rule of majority which is indirectly implied

#### 2. Executive

- executive executes decisions made by the govt
- Who? Minister of Parliament, Cabinet, Elected Representatives
- How? Advises policy makers on policy

### 3. Social and Economic checks and balances

- many decisions relating to values of society (social and economic) made/represented by independent and autonomous individuals and associations
- important that there is checks and balances on these decisions

#### 4. Political checks and balances

- separation of power
- bicameralism (two chambers acting as checks and balances on each other)
- federalism (through a vertical separation of powers)

# **Characteristics of a Liberal democracy**

- universal suffrage all citizens have the right to vote
- each arm of govt is to be separate and independent
- elections should be free and fair and competitive
- places limits the authority of govt
- considers majority rule but considers minority point of view
- multiple and distinct political parties should be able to compete
- govt laws are legitimised through written laws (Parliament)
- Rule of Law applies
- use of **federalism** and vertical separation of powers

### The democratic value

- reflected through democracy
- majority rule sometimes suppresses minority groups
- govt should reflect wishes of majority through regular elections by secret ballot express political preferences

### Libertarian value

- reflected through liberalism
- citizens **rights** and **liberties** (individual members and minorities) **protected** from political power
- important that individual citizens basic rights aren't violated by govt action, in spite of meeting approval of majority

# Democratic and non-democratic government

- **modern** democratic government **liberal democracy** free society, equal political rights
- few hundred years ago these liberal democratic seen by many leaders and philosophers as a dangerous experiment and contrary to 'laws of nature and God'
- 17th century, Thomas Hobbes presents traditional, authority-centred view of good govt
- in **20th century** many **authoritarian** and **totalitarian** systems e.g. fascist regime in Germany under Hitler, totalitarian communism in Soviet Union under stalin.
- many 21st century dictatorships have some elements of traditional govt

Contrasting	views of Good government	
	Traditional/ authority based	Liberal democratic govt
The Leader	<ul> <li>almost unlimited power</li> <li>not accountable to anyone</li> <li>right to position based on physical power, birth and/ or religion activity</li> </ul>	<ul> <li>right to rule based on will of majority</li> <li>govt are accountable to the people</li> <li>the people can select and dismiss leaders</li> </ul>
The People	<ul> <li>individuals are subjects</li> <li>position/ status in life of subjects set by birth, not merit</li> <li>role of subject was to carry out will of leaders</li> <li>very limited human rights</li> </ul>	<ul> <li>individuals are citizens</li> <li>citizens have human rights including right to participate in all aspects of social, political and legal activity</li> </ul>
The Law	<ul> <li>law as the will of leader</li> <li>different laws for rulers (they were above the law), compared to subjects</li> </ul>	<ul> <li>basic principle of rule of law</li> <li>formal equality before the law</li> <li>courts independent of political rulers</li> </ul>
Communication and Change	<ul> <li>communication controlled, limiting new ideas</li> <li>in past - traditional societies were static (limited change)</li> </ul>	<ul> <li>open communication and access to new ideas</li> <li>society generally dynamic allowing socially, political and economic change</li> </ul>

# Varieties of democratic and non-democratic government

# **Direct and representative democracy**

- **democracy** political and legal system based upon the **will of the people**, majority rule
- comes from ancient Greece, demos and kratein, "the people" and "to rule" respectively
- good govt recognises individual freedom
- citizens can make free choices about many aspects of their lives
- authority of govt based on consent of the people, and govt aim to achieve the common good of the community
- ancient Greek, expression of the will of the people was a **direct democracy**
- all male citizens were **directly involved** in **all key decisions** that affected their lives
- modern democratic societies not direct democracies representative democracy
- representative democracy citizens of a country **elect representatives on their behalf** to **make decisions** for a specified period of time
- in Aus, people select their representatives at **elections**

## **Non-democratic government**

- political and legal system based on the views of elites and powerful minorities
- Absolute monarchy all legal power rests in decisions of an unelected monarch who holds office on basis of hereditary entitlement
- Aristocracy legal power is held by an elite section of society aristocrats
- Oligarchy power is held by a few powerful elites within a society
- Plutocracy power held by wealthy elite, an oligarchy based on wealth
- <u>Autocracy</u> legal power exercised by an autocrat, who unilaterally (without agreement of others) decides laws and social direction of that country
- seen as arbitrary and unfair
- do not tolerate opposition to their viewpoints
- **exercise control over** supposedly **independent** and **democratic institutions** (e.g. court system and parliament)
- Fascist and Communist dictatorship applied widely to all forms of autocratic govt
- absolute monarchs of the 15th and 16th centuries could be called dictators
- during **20th century**, two **extremely dangerous** forms of **dictatorship developed authoritarian** and **totalitarian** systems

- <u>Authoritarian</u> rulers have total control of political/ legal power, use violence to maintain control
- Totalitarian systems enforce absolute obedience, seek to create absolute social control over all citizens
- totalitarian govt repress all opposition, terrorise society through use of secret police
- uses monopoly control of mass communications to enforce total loyalty to leader or leadership group
- <u>Fascist</u> and **communist dictatorships** operate in similar ways but are based on different totalitarian ideologies
- fascist believe all disunity source of national weakness
- they suppress any group that opposes them or is different from 'national ideal'
- a central fascist idea is the leadership myth only a single all-powerful leader can express the 'will of the people' and achieve the good of the nation
- <u>Communist totalitarian dictatorships</u> seek total control over the people to revolutionise and transform society
- seek to abolish all social and economic differences create absolute equality
- -> unequal wealth, power and dominance by an all-powerful leadership group/ member
- Lord Acton "all power tends to corrupt and absolute power tends to corrupt absolutely"
- Anocracies weak and unstable States where many powerful elites compete for power
- elites may gain power from military, land or other forms of wealth, regional or tribal status,
   or religious position
- generally **false democracy**, elections make no difference to real power, no effective rule of law
- elites use **corrupt processes** and **violence** to gain share of power

# The voice of the people

- representative govt get authority from the people, claim to represent interest of the people
- **differs from monarchs** who may have claimed to rule for the 'good of the people' but believed their authority was ordained by God
- 'representative of the people' doesn't always mean democratic in modern sense
- many 'representative systems' **only representative of minority** of population
- others gave **everyone** a say in decision-making, but **some peoples**' **say** was '**more equal** than others'

## Representation and pre-modern republics

- Ancient Rome 'representative' govt but wasn't a democracy
- 31BCE Octavian Caesar declared himself emperor imperial empire
- Before Caesars, Rome republic authority to rule comes from the people
- **not a right** provided by the will of god(s) or physical power
- In republican Rome, govt for the people (but not by or of the people)
- all free people had basic rights
- free Romans citizens with social/legal rights, and responsibilities military service
- Republic Rome based on representative govt
- emphasised by the letters SPQR "for the Senate and the people of Role"
- main centre of power senate representative body, but only of small, wealthy minority
- ordinary citizens represented in limited way
- had right to **elect tributes** to **represent** their **grievances** to Senate
- Roman model of republican govt inspired many early modern societies
- republican cities rejected Catholic model of Christian monarch power granted by God
- people of these cities citizens, not subjects, citizens enjoyed rights
- most of these **republics** were **oligarchies**
- power in hands of a privileged elite not representative

### Other representative bodies in pre-modern Europe

- representative bodies existed **before modern times** but only included **minority of population**, had **limited power**, and **weren't** a **permanent** part of govt
- kings of middle ages often didn't have absolute power, shared power with other groups
- sometimes involved representation of the people
- England House of Lords made up of powerful barons and churchmen
- to counterbalance power of aristocracy, monarchs created parliaments, represented other groups in society
- wealthy townsman, lesser nobles (knights) represented by **House of Common**
- Continental Europe monarchs sometimes called together 'estates' or classes of society
- included representatives of nobles, clergy and merchants

- 'estate monarchies' traditional system of govt
- covers Parliament in England, Estates General in France, the Cortes in Spain, the Sejm in Poland and the Riksdag in Sweden
- from time to time **monarchs** attempted to **increase** their **power**
- English parliament called 'mother of all parliaments' because of powers it formally gained after 1689
- pre-modern parliaments **representative bodies**, but still only of **small minority** of population

## Representation and the modern world

- early modern attempts at **democratic reform** focused on **effective representative govt**
- people central to democracy
- achievement of American Revolution was creation of a 'government of the people, by the people, for the people'
- 1700's and 1800's idea that all (or at least all male) citizens would have part in choosing govt began to gain support
- 'Age of Enlightenment' philosophers including Rousseau and Locke claimed govt was based on 'social contract'
- declared that **right to rule** came from **consent of the governed**
- these enlightenment thinkers were not democrat
- idea of representation was a **logical development** of their ideas
- <sup>-</sup> these ideas expressed in **American Revolution** 1776, and **French Revolution** 1789
- rebellion against British, **American** colonists demanded '**no taxation without** representation'
- after independence 1783, US created govt representative of property-owning men
- 1789 French revolutionaries deposed monarch Louis XVI
- <sup>-</sup> 1791 new French parliament passed **Declaration of the Rights of Man**
- declared all men had 'inalienable' political and legal rights
- right to **participate** through representatives, in making of the laws
- more **radical democratic claims** beginning to be made
- 1792 The Rights of Man Thomas Paine looked forward to more equal society where all men could participate in govt
- 1792 Vindication of the Rights of Women Mary Wollstonecraft women have an equal capacity with men for political participation
- 1800's US, Britain and self-governing colonies of British empire were leaders in extension of right to democratic representation
- by **1850**, **all white males** (in theory) had the **vote** in the US (in practical, individual States still put limits on right to vote)

#### **Political reform in Britain**

- strongest influence on Aus political ideas come from our British heritage
- taken to include traditions of English govt since Norman Conquest of England 1066
- after **1066**, **William the Conqueror** created a **Christian monarchy** modelled on powerful kinships of Europe
- over time, powers of England monarchy gradually reduced
- for a long time, only small elite shared in political power
- 1800's number of major expansions of representation took place
- 1900's Britain could claim to be representative democracy
- however, Aus introduced many modern democratic rights before they were adopted in England
- **early development** in **English govt** cannot be seen as steps towards democracy attempts by rich and powerful to **limit power** of **monarchs**
- 1215 King John forced by English nobles to sign Magna Carta
- document that required King to consult with nobles when tax were raised, also set out some basic legal rights
- 1295 first parliament called
- 1400's parliament included two chambers House of Lords and the 'Commons'
- membership of the Lords resulted from social position from inherited title, or high
   position in church of courts
- Commons 'elected' but only represented dominant social and economic class in the kingdom
- over next several centuries, **authority** of English parliament **gradually expanded**, but **representation remained very limited**
- under Queen Elizabeth I, parliamentary freedom of speech/ freedom from arrest conceded to parliament
- civil war fought between 'Parliament and the Crown' in the 1640's
- by 1690, English parliament more powerful than monarch
- many **rights** of **modern parliaments** date from that time
- however, govt **still dominated** by a small, powerful **minority** of population
- <sup>-</sup> 1800's series of Reform Acts expanded right to vote in Britain
- <sup>-</sup> **1832** and **1867** Reform Acts gave **vote** to **middle class men**
- 1887 Act created almost complete manhood suffrage
- (vote for all adult males acheived in 1918)
- 1928 equal voting rights for women achieved
- 1911 Commons became clear centre of British political power, when veto power of unelected House of Lords over British politics ended

#### **Democratic reform in Australia**

- European settlement did not initially bring democracy to Aus
- first fifty years after European settlement, British Governors ran Aus colonies
- were responsible to British Parliament in London and established a British legal system
- didn't recognise the **existing laws** of **indigenous** Aus
- 1850-1890 Aus colonies granted self-govt
- from **start new colonial govt** were **representative** democracies
- federation 1901 political reforms made Aus one of the most democratic nations on earth
- right to vote (the franchise) widened
- reforms secret ballot and payment of members of parliament (MPs) were adopted
- 1894 SA women right to vote/ stand as candidates in Legislative Assembly elections

## Representation and a democratic society

- modern representative govt developed with spread of democracy
- in democracies, govt much be **representative** of **citizens** of **all backgrounds**
- the **franchise** (right to vote) gradually **widened** to include all adult **men**, and later, adult **women**
- indigenous Aus did not get voting rights until around 50 years ago
- democratic **right to vote vital** part of our **modern definition** of democracy
- however, not by itself enough to create modern democracy
- democratic societies require more than right to vote or majority rule
- must be based on liberal democratic principles of individual freedom and equality
- must uphold rule of law and protect social, political and legal rights of individuals and minority groups as well as selecting govt in popular elections

### Features of a democratic society

- citizens must be able to exercise their right to vote without intimidation
- electoral systems should give citizens equal voting power so govt reflect majority will
- representative parliament must be capable of expressing, or at least respecting, voices of minority groups in society
- minimum standard of accountability regular elections where people can dismiss an unpopular govt
- higher standard requires an **open society** where govt must **respond** to **criticism daily**
- open societies based on right to peaceful political participation for all
- democratic participation requires **political freedoms** (speech, association, protection against discrimination and persecution)
- democratic societies must have media able to freely report on political activities, present
   wide range of POV on political issues
- must be an impartial court system governed by rules of procedural or natural justice
- procedural justice citizens brought before courts must know changes against them
- have right to put their case in a free and open court
- natural justice all those accused of crime considered innocent until proven guilty
- **presumption of innocence** regarded as the 'golden thread' of English justice system
- procedural justice itself not enough to create real equality before the law
- democratic society extra effort to ensure individuals have relatively equal access to, and treatment by, legal system

# Principles of good government

- societies shaped by beliefs that people assume to be 'common sense'
- one concept is the idea of an 'independent judiciary'
- these concepts can be called 'the principles of good government'
- can be put into groups concerned with:
- Limiting govt: led to changes that sought to limit power of govt. Includes concepts of separation of powers, sovereignty, constitutionalism, citizenship and rule of law
- Checking govt: concerns processes intended to make govt accountable to the people.
   Main models of democratic accountability are the British idea of responsible govt and presidential model of the US. all forms of accountability require citizens to have political and legal rights
- 3. Voice of the people principles: include representative govt, democratic voting rights and idea of a democratic society
- aus govt also shaped by idea of division of powers

# Limiting government

## Montesquieu and the separation of powers

- 1700's political thinkers critical of absolute power possessed by most monarchies
- rejected traditional idea king was 'father' had absolute power to make decisions for his people, who was only responsible to God
- **separation of powers** opposed arbitrary rule by absolute monarchs
- Baron de Montesquieu rejected unlimited powers of French monarchs
- key feature of British govt, vital feature of modern limited govt

### **Applying the separation of powers**

- US 1780's constitution of the US, legislative power: parliament (the congress), executive power: president, judicial power: Supreme Court
- never been clear separation of legislative and executive in modern democracy
- Britain and Aus parliament division between executive and legislative power unclear
- judiciary independent
- **courts** should base **decision** on **authority of law**, not opinion
- free from interference from legislators or executive leaders

# **Sovereignty**

- supreme authority to govern in an independent nation
- non-democratic govt based on traditional or religious authority
- **constitutional** democracies based on **consent** of the people

### Constitutionalism and citizenship

- 1700's 1800's political revolutions fought to achieve constitutionalist govt
- today this doesn't seem a radical idea
- Aus has different corporative organisations that set out membership rules and decision-making processes that govern them
- political constitution set of fundamental laws that 'governs the governors'
- constitution can define **govt powers**, **structure** of political systems and **processes** and **procedures** of govt
- set out the **legal way power** can be **exercised** in a political system
- authority power exercised under law
- revolutionaries and reformers of the past demanded absolute monarchy be replaced with constitutional monarchy or democratic republic
- constitutional monarchy monarch almost completely restricted to acting on advice of an elected parliament
- democratic republic sovereignty entirely based on people and decisions are made by elected representatives on their behalf
- constitutionalism law limits power
- govt only has authority to **exercise powers** set out **in constitution**
- mostly constitutions are **written documents**
- some systems rely on very strongly held **shared beliefs** or **convections**
- makes it possible to **challenge legality** of **govt actions** in **court**
- provides people with protection against tyranny against the illegal exercise of power by power-holders
- constitutions make **citizenship** possible
- absolute monarchs and dictators rule over subjects
- <sup>-</sup> a **subject** is required to be **loyal** and **obedient to ruler**
- in contrast, people living in societies with **modern constitutionalist govt** are **citizens**
- citizenship requires limited govt and rule of law, and responsibilities of living in a free society
- citizens have **rights** includes **basic** freedom of speech, **legal** right to a fair trial, **political** right to vote
- some views of citizenship extend right of citizens to:
- economic rights covering issues such as education and public health
- cultural rights such as right to participate in cultural life of community

### The rule of law

- similar concept to constitutionalism
- 'the rule of laws, not of men'
- an action is allowed or not allowed because of law, not because of power of govt, large cooperations or powerful individuals
- under rule of law, law also binds all arms of govt
- actions of legislative, executive and courts must be lawful
- standards applied to citizens also apply to govt

### **Essential features of the rule of law:**

- 1. The law applies to actions of all citizens, whatever their status or power. The law equally binds those who make or enforce it.
- 2. There must be effective ways of **holding govt** and **officials accountable**. This restates need for **separation of powers**: legislative should not have power to enforce and adjudicate law, and judiciary must be able to act independently of legislative and executive.
- 3. Citizens are **equal before** the **law**. This principle sets a minimum requirement that people have **same legal rights**, regardless of their wealth or status.
- 4. The law must be **clear** and **understandable** so people can **easily comply with** it. In almost all cases it **must not be retrospective**: a **new law** should **set standards** for **future actions** and not make a illegal past action that was within the law when it occurred.
- rule of law creates **responsibilities** for both govt and citizens
- legal processes must ensure those who appear in court get a fair hearing
- citizens must **respect** the **law**
- for rule of law to exist in reality, citizens must **willingly choose** to be **bound** by **existing laws even if they disagree** with need for them
- citizen who accepts protection of rule of law must accept that **protection of law** and **right of** due legal process apply to all other citizens
- all people have a **right** to **seek to change** the **law** through peaceful means

# Referendums in Australia

- Australian Constitution can only be changed by referendum
- there has been 44 referendums held since 1901
- only eight of these have been successful
- most successful referendum in Australia's history was in 1967
- where 90.77% of the nation voted 'Yes for Aborigines
- 1967 referendum amended Australian Constitution to give federal parliament power to make laws in relation to Aboriginal and Torres Strait Islander people
- allowed for Aboriginal and Torres Strait Islander people to be included in census
- contrast to the 1999 referendum
- proposed to alter Australian Constitution so that Australia became a republic
- insert a new preamble

# Checking government

### **Accountability definition**

- govt responsible for their actions and decisions
- a principle of **responsible** and **representative** govt
- systems of accountability check or scrutinise actions of elected govt
- all decision taken by elected representatives of people must be fully accounted for
- govt spend taxes they raise from the people
- must be **responsible** for **spending of these funds**
- must be accountable for success and failures of policies introduced

### **Democratic accountability**

- citizens must have right to vote freely and regularly
- have accurate info to evaluate actions of govt
- govt must be open to criticism about its failures and weaknesses

### Political accountability

- requirement that all public officials, both elected and appointed, should be answerable to the people
- can operate through both democratic elections and through application of law

## **Political rights**

means people should be able to question the govt action by

- right to free speech
- right to form groups (pressure groups, bodies)
- free media
- right to dissent and protest against govt policies

### Legal rights

- citizens have legal rights
- to protect rights of citizens, legal system has to be independent from govt
- citizens entitled to justice from our court system
- decisions handed down should be free from bias and prejudice
- legal system of Aus and England have a symbol of a blindfolded woman upholding scales of justice
- this asserts two principals of justice:
- all people seeking justice within court system are to be **treated equally**;
- legal process should not be biased or prejudiced against any individual or group in society

# Responsible government

### the Westminster model

- describes system of checking govt that has developed over many centuries in Great Britain
- at first, right to carry out this check asserted only by wealthy nobles
- by **1800s** grown into **modern idea** of **responsible** parliamentary **govt**
- that govt responsible to a parliament of elected representatives
- ^ **Westminster system** British parliament is at Westminster in London
- Aus and GB, PM and other ministers
- key decision makers who make up govt
- **elected members** of parliament
- accountable to parliament for their day-to-day decisions
- if they lose support to parliament they cannot be govt
- parliament can sack them and replace them with a new govt
- unwritten rules or conventions of responsible govt
- enables parliament to dismiss corrupt or incompetent Minister
- ministers accountable for actions of public servants who carry out their instructions
- under system of responsible govt, legislative (parliament) can make and break govt
- all members of parliament are themselves accountable to the people
- they have to face voters next elections
- by voting out their elected representatives, people can change govt
- Westminster Chain of Responsibility

### the presidential model of The United States

- US system clearly separates arms of govt
- three separate branches
- legislative elected representatives sit in the two houses of Congress
- executive directly elected President
- judicial courts, headed by Supreme Court
- voting by US citizens to directly elect President every four years
- US **President directly accountable** to the people, can be **voted out** next election
- when President loses an election, his whole administration (including appointed ministers -Secretaries of State) is also dismissed
- between elections, members of Congress (legislature) can impeach a President who has committed a criminal offence
- 44 Presidents between 1789 and now
- **impeachment** attempted twice (against President Johnson 1868, President Clinton 1998)
- neither successful
- 1970, President Nixon resigned when Congress threaten to impeach him
- accountability in US also relies on 'checks and balances'
- over time, power of Congress and President overlapped
- both share **legislative** power
- Congress can pass a bill, not law until it has Presidential consent
- President may propose vital bills, only Congress can introduce and pass them
- Congress and President act as a check and balance on each other
- US citizens can take a case to **Supreme Court** and **Court's judgment** can **cancel any govt law** or **action** that it determines is **unconstitutional**

- both Westminster and Presidential Systems emphasise independence of the judiciary
- similarly, under **Aus federal constitution**, **High Court** hears constitutional disputes and can act as a **strong check** on govt power

## **Accountability and rights**

- for any system of accountability to work, vital that **elected members** of parliament and **citizens** have **accurate info** about **actions of govt**
- strength of a democracy lies in its ability to accept open criticism about its failures and weaknesses
- democratic participation only possible if citizens have equal democratic rights
- political and legal